

Government of Democratic People's Republic of Korea

United Nations Development Programme

In cooperation with:

Swedish International Development Cooperation Agency (Sida)

Capacity Building for Disarmament Initiatives

Brief Description

The project is aimed at providing support to the researchers of the Disarmament and Peace Institute (DPI) and other officials of the Ministry of Foreign Affairs (MFA) of DPRK in broadening knowledge in specific fields and enhancing their ability to formulate policy proposals. This project will follow on, and build upon, previous assistance provided in this area through an earlier UNDP project to assist DPI in strengthening and modernizing its institution through the training of researchers and providing operational support to DPI. At the end of the 2-year period of this project, the capacity of disarmament staff will have been further strengthened and their negotiation skills enhanced to be able to participate more effectively in international and regional meetings relating to disarmament. The main objective of this support project is to support DPI of MFA in strengthening and enhancement of its staff through participation at the overseas training, fellowships, study tours, workshops and international-regional conferences to be hosted by the UN agencies, regional organizations and specialized international institutes. The objectives of the project are in brief:

- Enhancement of expert knowledge on disarmament field
- Enhancement of analysis as regards disarmament issues
- Increase of policy recommendation capacity

April 2002

Ia. Situation Analysis

Recent changes which have taken place in the Korean peninsula, notably the historic North-South Summit Meeting in June 2000 in Pyongyang, have brought about hopes on peace, security and reunification of the country. The Government of DPRK has also established new diplomatic relations with many countries, including several countries of the EU. DPRK is also interested in improvement of relations with the United States. Since the adoption of DPRK-US Agreed Framework in 1994, the US has lifted part of economic sanctions against DPRK and DPRK, on its part, has reaffirmed temporary suspension of satellite launch as a link in a chain of simultaneous actions between both sides.

DPRK's admission to ASEAN Regional Forum has demonstrated its interest to contribute to peace and security of the Korean peninsula and Asia-Pacific region. Further specialized capacity is required for DPRK's disarmament experts to take part in ASEAN Regional Forum, where regional peace and security issues are discussed.

An earlier UNDP project "Support to Disarmament Initiatives in DPR Korea" (DRK/92/003 and DRK/92/303) initiated in 1992 supported DPI of MFA in its modernization and capacity enhancement within the disarmament field, with a total budget of US\$250,000. The project was well received by the DPRK who found the assistance useful and productive. Researchers of the Disarmament and Peace Institute (DPI) upgraded their specialized knowledge and enhanced research skills through study visits to disarmament institutions, such as SIPRI of Sweden and Stiftung Wissenschaft und Politik of Germany. This 1992 initiative now needs to be followed up and further reinforced, particularly given advances made in the field of disarmament and international negotiations since then.

There are now 33 members in the DPI in addition to the Disarmament Section of International Organization Department (IOD) of the Ministry of Foreign Affairs and other relevant sections in charge of UN and disarmament affairs. Although some of these disarmament staff have received specialized training abroad, many of the current staff lack experience in disarmament field. The DPI is still short of competent staff, who are able to analyze data and recommend policy proposals in all the relevant fields. Although the DPI experts, who went through training courses, supported by the prior UNDP project, now train other disarmament staff of DPI, according to the training program initiated by DPI itself, the training is being conducted within a limited scope because of the inadequate experience and capacity of human resources.

Ib. Strategy

This project will provide support to the efforts and initiatives of the DPRK Government to contribute to the peace and security of the Korean peninsula through dialogue and negotiations. To enhance the skills of the DPI staff of the MFA will be one of the factors contributing to the peace process on the Korean peninsula. The project will focus assistance on DPI and disarmament staff of MFA. UNDP will provide a range of cooperation, which will result in enhanced technical competence, and accumulated experience of MFA officials responsible for disarmament affairs. MFA's disarmament staff will have gained the capacity to design and submit initiatives and strategies to the Government and negotiate disarmament issues.

The DPI recognises the need for more disarmament experts to gain specialized knowledge and skills through studies abroad and study tours. Negotiation skills and awareness of international disarmament practices need to be upgraded through attendance at regional and international conferences. The project will focus assistance on DPI and disarmament staff of MFA. UNDP will provide a range of cooperation, which will result in enhanced technical competence, and accumulated experience of MFA officials responsible for disarmament affairs. MFA's disarmament staff will have gained the capacity to design and submit initiatives and strategies to the Government and negotiate disarmament issues.

The Government of DPRK has requested assistance from the UNDP for this project, as UNDP is a politically neutral organization. This initiative is in line with the UNDP policy of providing upstream support to create an enabling environment for national capacity building.

Ic Project outcomes:

The government, especially the Disarmament and Peace Institute and relevant disarmament departments of MFA, will be strengthened and become more proficient and aware of the issues involved in disarmament and negotiating processes, as well as formulating and implementing appropriate policies that can contribute to a peaceful development on the Korean peninsula.

II Results Framework

<p>Intended Outcome: The government, especially the Disarmament and Peace Institute and relevant disarmament departments of MFA, will be strengthened and become more proficient and aware of the issues involved in disarmament and negotiating processes, as well as formulating and implementing appropriate policies that can contribute to a peaceful development on the Korean peninsula.</p>
<p>Outcome Indicator: Strengthened and more active role of the Disarmament and Peace Institute and the Ministry of Foreign Affairs in dealing with disarmament issues, and Peace and Reunification initiatives.</p>
<p>Applicable Strategic Area of Support: V Special Development Situation. Goal 1: Reduced Incidence of and Sustainable Recovery and Transition from Complex Emergencies and National Disasters. Subgoal 2: Conflict Prevention, Peace-Building and Sustainable Recovery and Transition in Conflict Emergency From Crisis. 5.2.2 Complex Development of National Institutions and Civil Society Organisations to Advance Human Security.</p>
<p>Partnership Strategy: The project involves several governmental bodies, including the Ministry of Foreign Affairs, Disarmament and Peace Institute and the National Coordinating Committee for UNDP. These bodies, with the help of the catalytic role played by UNDP, the support given by the United Nations Department of Disarmament Affairs, and funding by the Swedish International Development Cooperation Agency, Sida, will establish linkages and relations with SIPRI and other international peace research institutes.</p>
<p>Project Title and Number: Capacity Building for Disarmament Initiatives, DRK/02/U01/JA/99</p>

Intended Outputs	Output Indicators	Indicative Activities	Inputs
<p>Output 1</p> <p>Trained Researchers of DPI and disarmament experts of MFA, who will have acquired enhanced knowledge on disarmament issues to be able to undertake appropriate research and make policy recommendations to the decision making authorities to contribute towards peace in the Korean peninsula and the Asia-Pacific region.</p>	<p>Staff trained at SIPRI and other international institutes</p> <p>Participation in regional/international conferences</p> <p>Study Tours undertaken</p> <p>Researchers undertake specific projects.</p>	<p>1.1 Fellowship of DPI researchers in SIPRI and other international institutes for a period of one month/person to study the following topics: confidence and security building measures, arms control agreements, relationship between disarmament and development, experience of other countries achieved in disarmament, etc.</p> <p>1.2 Study tour by DPI Researchers and disarmament experts to well establish disarmament institutes such as SIPRI of Sweden, and/or other institutes as identified by the CTA in conjunction with the Government.</p> <p>1.3 Participation in regional or international conferences and seminars on disarmament and security issues.</p> <p>1.4 Researchers undertake specific projects on issues such as: -Confidence building and security measures. -The reunification and peace of the Korean Peninsula. -The relationship between the disarmament and development. -The structure of military alliances and peace and security in the Korean peninsula.</p>	<p>Recruitment of CTA, mission to DPRK, outlining of project. (Total CTA 45,000) (SIPRI contract 12,000)</p> <p>Fellowships 70,000</p> <p>Study tour 30,000</p> <p>International Workshops 18,000</p> <p>Regional Workshops 10,000</p> <p>Mission (Total 20,000)</p> <p>(Sundries total 5,000)</p>

Intended Outputs	Output Indicators	Indicative Activities	Inputs
Output 2 Enhanced operational capacity of the Disarmament and Peace Institute	DPI equipped with necessary computers and publications. Ongoing relations with SIPRI and other international disarmament research institutes. Substantive policy recommendations submitted.	2.1 Procurement and installation of 3 sets of computers, one photocopy machine and one printer 2.2 Procurement of disarmament publications for enhancement of specialized resource library in the DPI, e.g. Arms control report and arms control journal 2.3 Establishment of working relations and exchange with other international disarmament research institutes 2.4 Policy recommendations presented to the Government on issues such as: -Confidence-building and security in the Korean Peninsula -Proposals on the ways and means of peace in the Korean Peninsula -Proposals on the reunification of Korea -Proposals on demilitarisation of the Korean Peninsula	Equipment: 3 laptop computers, one photocopy machine and one printer. 11,000 Reference materials 5,000 Expendable equipment 3,700 Mission (Total 20,000) (Sundries total 5,000)

III Project Management Arrangements

The project will be executed by the DPRK National Coordinating Committee for UNDP. A major role for SIPRI is envisaged in the project, following on previous successful collaboration between SIPRI, UNDP and the DPRK. In conjunction with the Government and UNDP, SIPRI will identify, or advise on the identification, of a suitable CTA for the project who will be paid by the project and who will be responsible for coordinating and organising the training plan in conjunction with DPI and Ministry of Foreign Affairs. The work of the CTA shall not be fulltime for the life of the project but spread throughout the project cycle, with a focus on designing and initiating project activities at the beginning of the project.

The UNDP DPRK Country Office will provide a high degree of in-country support to the project, including administrative backstopping and facilitating contacts with the DPI and MFA. The Country Office will also be responsible for preparing regular reports on the project for the donor, SIDA, plus supporting the relations between the donor and MFA in this area. The Country Office will ensure all due recognition for the role of SIDA in the project.

The Government implementing agency is the DPRK National Coordinating Committee for UNDP, through the Disarmament Section of the International Organisations Department (IOD). This role includes nomination of fellows, submission to UNDP project progress reports, supervision and support of project implementation. The Chief of the Disarmament Section of IOD of MFA will act as National Project Director and shall work in close consultation with the CTA.

The National Coordinating Committee for UNDP will have the responsibilities to provide and facilitate, as and when required, all logistical arrangements related to the efficient implementation of the project. Experts, who participate in the project implementation, will be encouraged in the dissemination activities to other relevant ministries and departments.

The DPI and International Organization Department of the Ministry of Foreign Affairs will make available to the project an adequate number of ministry people, when needed, to assist in the project implementation activities. The Government will make available to UNDP a sufficient number of DPI researchers that qualify for overseas training program.

SIPRI has been preselected to act as one of the implementing partners of the project given its role as a leading research institute and the prior assistance provided by SIPRI in this areas to DPI and MFA. This will allow the project to build on the previous lessons learned and relationship of trust and confidence.

SIPRI will assist in identifying a Chief Technical Advisor, CTA in consultation with the National Coordinating Committee of DPRK for UNDP and UNDP. SIPRI as a leading research institute will be the institute to receive and train the disarmament staff of the DPRK. The appointed CTA will coordinate the training of disarmament staff through arrangement of study tours, fellowships and participation in international and regional conferences on disarmament. The CTA will also, through the Disarmament Section of IOD of MFA, give advice for project implementation to DPI.

Project review, reporting and evaluation: The project will be subject to joint review by the representatives of the DPRK Government and UNDP upon completion of the project. The UNDP CO in DPRK will have the responsibility to carry out regular monitoring of project implementation and achievements of outputs and objectives.

IV Legal Context

This project document shall be the instrument referred to as in Article 1 of the Standard Basic Agreement between the Government of DPR Korea and the United Nations Development Programme. The following types of revisions may be made to this Project Document with the signature of the UNDP Resident Representative only, provided he or she is assured that the other signatories of the Project Document have no objection to the proposed changes:

1. Revision in, or addition of, any of the annexes to the project;
2. Revisions which do not involve significant changes in the immediate objectives, outputs or activities of the programme, but are caused by rearrangements on the inputs already agreed to or by cost increases due to inflation; and,

3. Mandatory annual revisions, which rephase the delivery of, agreed project inputs or increased experts or other costs due to inflation or taking into account agency expenditure flexibility.

V Annual Input-Output Budget

Activity Description	Inputs Description	Budget Line	Budget
1.1.1 Establish linkages with SIPRI			
1.1.2 Identification and Recruitment of CTA	Contract SIPRI	21	12,000
1.1.3 Training programme outlined by CTA in conjunction with DPI and MFA	Inputs from CTA	11.01	45.000 (total)
1.1 Fellowship of DPI researchers in SIPRI and other international institutes.	Travel, Fellowships	31.01	70.000
1.2 Study tour by DPI Researchers and disarmament experts to well establish disarmament institutes.	Study tour	31.02	30.000
1.3 Participation in regional or international conferences and seminars on disarmament and security issues.	Travel, conferences, seminars	32.01 & 32.02	28.000
1.4 Researchers undertake specific projects on disarmament issues.			
2.1 Procurement and installation of 3 sets of computers, one photocopy machine & one printer.	3 laptops, 1 copy machine, 1 printer	45.01	11.000
2.2 Procurement of disarmament publications for enhancement of specialized resource library in the DPI.	Publications	45.02	5.000
2.3 Establishment of working relations and exchange with other international disarmament research institutes			
2.4 Policy recommendations presented to the Government on disarmament and peace issues.			
3. Monitoring and Evaluation	Mission Costs Inputs from CTA	16.00	20.000
Additional Costs: Sundries Expandable equipment COA (3%)		53.01 45.03	5,000 3,700 7.042
TOTAL			234.742

V Budget

PROJECT BUDGET COVERING THE SWEDEN CONTRIBUTION
(IN US DOLLARS)

Project Number: DRK/02/U01/JA/99
 Management Arrangement: National Execution
 Project Title: Capacity Building for Disarmament Initiative
 Country: Democratic People's Republic of Korea

Revision Code: A
 Source of funds: JA
 Status: Approved

BL	Description	Total		2001		2002		2003	
		w/m	US\$	w/m	US\$	w/m	US\$	w/m	US\$
10	Personnel								
11.01	Int. Consultant	3	45,000			2	30,000	1	15,000
16.00	Mission Costs		20,000				10,000		10,000
19	Component total	3	65,000			2	40,000	1	25,000
20	Contracts								
21	Contract SIPRI		12,000				6,000		6,000
29	Component Total		12,000				6,000		6,000
30	Training								
31.01	Fellowships		70,000				40,000		30,000
31.02	Study Tours		30,000				30,000		
32	Other training								
32.01	Int. Workshops		18,000				10,000		8,000
32.02	Reg. Workshops		10,000						10,000
39	Component total		127,000				80,000		47,000
40	Equipment								
45.01	3 laptop, 1 printer, 1 photocopier machine		11,000				11,000		
45.02	Reference materials		5,000				5,000		
45.03	Expendable equipment		3,700				2,000		1,700
49	Component total		18,700				17,000		1,700
50	Miscellaneous								
53.01	Sundries		5,000				2,500		2,500
59	Component Total		5,000				2,500		2,500
COA			7,042						
90	Project Total		227,700				145,500		82,200
99	Project Total		234,742						

* Donor contributed funds in their local currency and the above total amount is based on an official UN exchange rate as of November 2001.

**NATIONS DEVELOPMENT PROGRAMME
PROJECT OF THE DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA**

Project Number: DRK/02/U01/JA/99
 Project Title: Capacity Building for Disarmament Initiatives
 Project short title: Disarmament
 Estimated starting date: June 2002
 Estimated end date: December 2003
 Duration: 2 years
 Executing agent: NCC for UNDP
 Government cooperating agent(s): Ministry of Foreign Affairs
 Implementing agent(s): National Coordinating Committee for UNDP
 Project site: Pyongyang

Classification information
ACC sector and subsector: 1 Political Affairs; 30 Disarmament
DCAS sector and subsector: 2 Development Administration; 11 Foreign affairs & international law
Government sector and subsector:
Primary sector of focus/subfocus: 5 Other UNDP Development Priorities; 35 Other UNDP Development Priorities
Primary type of interventions: 1 Capacity Building; 1 Institution Building
Secondary type of interventions:
Primary target beneficiaries: 2 Target organizations: 5 Government; 38 Government organizations
Secondary target beneficiaries: 1 Target Groups; 4 Other; 36 Population at Large

LPAC review date:

Summary of UNDP and cost-sharing

Inputs (as per attached budgets)

UNDP:
 TRAC (1 & 2)
 TRAC (3)
 STS
 Other

Cost-Sharing (Trust Fund)

Government:
 Financial institution
 Third Party: \$ 227,700
Total: \$ 227,700

Administrative and Operational services

SOF 8F \$
 AOS \$
 Country Office
 Administrative Cost \$ 7,042
Total: \$ 7,042


TOTAL: 234,742

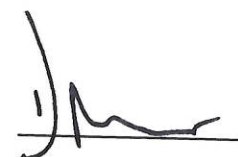
Government Inputs (local currency)
 (In kind)

Brief description

The main objective of this support project is to support DPI of MFA in strengthening and enhancing of its staff through participation in overseas training, fellowships, study tours, workshops and international-regional conferences to be hosted by the UN agencies, regional organizations and specialized international institutes. The objectives of the project are in brief:

- Enhancement of expert knowledge on disarmament field
- Enhancement of analysis as regards disarmament issues
- Increase of policy recommendation capacity

On behalf of: Signature Date Name/Title
 Government:  12/6/2002 Ri Hung Sik, Secretary-General NCC for UNDP

UNDP:  12/6/2002 David Morton, Resident Representative